

Appendix 1b - Risk Register Summary

Total Risks	New	Improving	Deteriorating	Unchanged	Deleted
26	0	1	8	17	0

Risk Rating	Count
Very High	-
High	3
Medium	15
Low	7
Insignificant	1

Ref	Type	Name	Rating
7	Governance Legal & Compliance	Discharge of responsibilities and accountabilities	↑
16	People & Culture	Recruitment & retention	↑
17	People & Culture	Resources	↑
25	Governance Legal & Compliance	Best practice governance	↑
2	Information Security IT & Data	Data Management	↔
13	Business Operations	Supplier performance and oversight	↓
19	Investment Management	Investment Strategy	↑
11	Information Security IT & Data	Cybersecurity	↑
4	Governance Legal & Compliance	Regulatory breach	↔
21	Strategy	Strategic Goals	↑
18	Strategy	Climate change & Responsible Investing	↔
1	Investment Management	Investment Performance	↔
9	Business Operations	Business Interruption	↔
6	Business Operations	Investment operations errors	↔
27	Business Operations	Operational errors	↔
8	Business Operations	Investment services delivery	↔
5	Scheme	Employer failure	↔
10	Information Security IT & Data	IT systems	↑
24	Information Security IT & Data	Data integrity and quality	↔
23	Scheme	Unexpected drop in funding	↔
22	Scheme	Meeting liabilities or capital requirements	↔
20	Business Operations	Communications	↔
12	Business Operations	Project and change activities	↔
14	Business Operations	Fraud by LPF staff or relating to members	↔
15	Business Operations	Member engagement, servicing and reporting	↔
3	Conduct	Unauthorised activity or poor conduct	↔